

AD

FROM TREXLER ETAL.

AKIMA-DTSV 16 MAR 2005

(WED) 3.16'05 13:58/ST. 13:57/NO. 4860347434 P 1

TREXLER, BUSHNELL, GIANGIORGI, BLACKSTONE & MARR, LTD.**COUNSELORS AT LAW****THE CLARK ADAMS BUILDING****105 WEST ADAMS STREET, SUITE 3600****CHICAGO, ILLINOIS 60603-6299****(312) 704-1890****FOUNDED 1890****PATENT, TRADEMARK, COPYRIGHT
AND RELATED MATTERS: ALL PHASES
INCLUDING LICENSING AND LITIGATION****FAX: (312) 704-8023
www.trexlaw.com**

RICHARD R. TREXLER (1906-1995)

RICHARD BUSHNELL (1926-2004)

RICHARD A. GIANGIORGI

RALFORD A. BLACKSTONE, JR.

DAVID J. MARR

LINDA L. PALOMAR

JAMES R. POLJY

JAMES A. O'MALLEY

TIMOTHY M. MCCARTHY

PAIGE A. KITZINGER

FACSIMILE TRANSMISSIONTOTAL PAGES (Including Cover Page) 8 DATE: March 16, 2005Office of Initial Patent Examination's
TO: Filing Receipt Corrections FROM: Linda L. Palomar, Reg. No. 37,903FAX NO: (703) 746-9195 FAX NO: (312) 704-8023*If you experience any difficulty with this transmission, please call (312) 704-1890 for assistance.***ORIGINAL COPY AND ENCLOSURES** WILL BE SENT BY MAIL COURIER
 ✓ WILL NOT BE SENT**NOTES:**

Inventor: Thudor et al.

For: HUMIDITY CONTROLLER

Art Unit: 3742

Serial No.: 10/001,596

Filed: October 19, 2001

Attorney Ref.: 1171/39672/106

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office to fax number (703) 746-9195 on

March 16, 2005

Date

Jeffery R. Sexton

Jeffery E. Sexton

IMPORTANT NOTICE

This transmission (including all attached pages) is intended only for the use of the named addressee(s), and may contain information that is privileged or exempt from disclosure under applicable law. **IF YOU ARE NOT A NAMED ADDRESSEE, YOU ARE HEREBY NOTIFIED THAT ANY USE, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS TRANSMISSION IS STRICTLY PROHIBITED.** If you have received this transmission in error, please destroy all copies and notify us immediately at this telephone number: (312) 704-1890.

BEST AVAILABLE COPY

**IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE**

In re application of:)
 Applicant: Tudor et al.)
 For: HUMIDITY CONTROLLER)
 Serial No.: 10/001,596)
 Filed: October 19, 2001)
 Art Unit: 3742)
 Atty. Docket No.: 1171/39672/106)

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office to fax number (703) 746-9195 on March 16, 2005.

Harry E. Saxton
 Harry E. Saxton

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination Customer Service Center
 Commissioner For Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

On September 17, 2004, Applicant filed a Request for Corrected Filing Receipt, copy enclosed, because the Filing Receipt was not in conformance with the Amendment dated May 18, 2004. A Corrected Filing Receipt was issued on October 1, 2004, however, said Corrected Filing Receipt omits the Foreign Application data which was not in accordance with the afore-mentioned Request for Corrected Filing Receipt. Therefore, please re-identify the following foreign applications, and remove "International Bureau of the World Intell WO 01/13981, 3/1/2001" from the Foreign Applications section of the Filing Receipt.

Foreign Applications to be Re-identified

New Zealand 337382 08/23/1999
 New Zealand 507663 10/19/2000

In addition, Applicant notes that the information identified under the Domestic Priority Data is not consistent with the United States Patent Office's records, however, Applicant has checked their file and did not find any inconsistencies. Therefore, Applicant respectfully requests that either the statement "Data provided by applicant is not consistent with PTO records" be deleted from the Filing Receipt or that Applicant be provided a concise explanation of what the inconsistencies are.

777271.WPD

A copy of the Corrected Filing Receipt is attached hereto with the changes noted thereon.
Please make these changes and forward a corrected Filing Receipt to us.

It is believed that no fee is required. If such a fee is required, however, the Commissioner is authorized to charge Deposit account No. 20-1495 for this fee and any amount necessary to cover any additional costs associated with this Request or to credit any overpayment.

Respectfully submitted,

Date: March 16, 2005

By: 

Raiford A. Blackstone, Reg. No. 25,156
Linda L. Palomar, Reg. No. 37,903
Attorney for Applicant
TREXLER, BUSHNELL, GIANGIORGI,
BLACKSTONE & MARR, LTD.
105 West Adams Street, 36th Floor
Chicago, Illinois 60603-6299
(312) 704-1890

777271.WPD



UNITED STATES PATENT AND TRADEMARK OFFICE

J.E.P.H. Case 106
 UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FILE FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/001,596	10/19/2001	3742	1780	1171/39672/106	8	18	2

Trexler, Bushnell, Giangiorgi
 Blackstone & Marr, Ltd.
 36th Floor
 105 West Adams Street
 Chicago, IL 60603

RECEIVED
 OCT 04 2004

TREXLER, BUSHNELL, GIANGIORGI,
 BLACKSTONE & MARR, LTD.

CONFIRMATION NO. 3006

CORRECTED FILING RECEIPT

0000000013979252

Date Mailed: 10/01/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mohammad Thudor, Auckland, NEW ZEALAND;
 Stephen William McPhee, Auckland, NEW ZEALAND;

Power of Attorney:

RICHARD BUSHNELL-16943
 Richard Giangiorgi-24284
 Raiford Blackstone JR.-25156
 David J. Marr-32915
 Linda L. Palomar-37903

James Foley-39979
 Paige Kitzinger-45219
 James O'Malley-45952

Domestic Priority data as claimed by applicant

This application is a CIP of 09/959,226 01/23/2002 ABN *
 which is a 371 of PCT/NZ00/00156 08/09/2000

~~(*) Data provided by applicant is not consistent with PTO records~~

CASE: *S/M*FILE #: *FD/enter*

DUE

DUE

Foreign Applications

~~INTERNATIONAL BUREAU OF THE WORLD INTEL WO 01/13981 A1 03/01/2001~~
 New Zealand 337382 8/23/1999

New Zealand 507663 10/19/2000

If Required, Foreign Filing License Granted: 12/31/2001

The number of your priority application, to be used for filing abroad under the Paris Convention is,
 US10/001,596

BEST AVAILABLE COPY

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Humidity controller

Preliminary Class

392

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

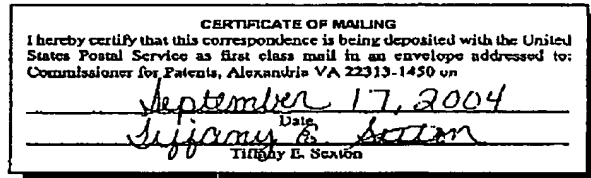
NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE**

COPY

In re application of:)
 Applicant: Tudor et al.)
 For: HUMIDITY CONTROLLER)
 Serial No.: 10/001,596)
 Filed: October 19, 2001)
 Art Unit: 3742)
 Atty. Docket No.: 1171/39672/106)



REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination Customer Service Center
 Commissioner For Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

COPY

Dear Sir:

Enclosed is a copy of the Filing Receipt received in the above-identified application. This Filing Receipt is in error because it is not in conformance with our Amendment dated May 18, 2004. Please update the Filing Receipt to reflect the following:

This application is a continuation-in-part of United States patent application Serial No. 09/959,226, filed on October 18, 2001 and accorded a filing of January 23, 2002 under 35 U.S.C. §371 and entitled "Humidity Controller", which is the United States National Phase application of PCT patent application No. PCT/NZ00/00156 which has an international filing date of August 9, 2000 and was published by the International Bureau in English on March 1, 2001 under International Publication Number WO 01/13981 A1. Please make these changes and forward a corrected Filing Receipt to us.

It is believed that no fee is required. If such a fee is required, however, the Commissioner is authorized to charge Deposit account No. 20-1495 for this fee and any amount necessary to cover any additional costs associated with this Request or to credit any overpayment.

Respectfully submitted,

COPY

Date: September 17, 2004By: 

Raiford A. Blackstone, Reg. No. 25,156
 Linda L. Palomar, Reg. No. 37,903
 Attorney for Applicant
 TREXLER, BUSHNELL, GIANGIORGI,
 BLACKSTONE & MARR, LTD.
 105 West Adams Street, 36th Floor
 Chicago, Illinois 60603-6299

(312) 704-1890



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20531
www.uspto.gov

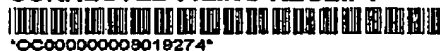
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/001,596	10/19/2001	3761	1168	1171/39672/106	8	18	2

Trexler, Bushnell, Gianglori,
Blackstone & Marr, Ltd.
36th Floor
105 West Adams Street
Chicago, IL 60603

COPY
MAY - 9 2002

TREXLER, BUSHNELL, GIANGIORI,
BLACKSTONE & MARR LTD.

CONFIRMATION NO. 3006
CORRECTED FILING RECEIPT



00000000009019274

Date Mailed: 05/03/2002

"Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mohammad Thudor, Auckland, NEW ZEALAND;
Stephen William McPhee, Auckland, NEW ZEALAND;

COPY

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/959,226 01/23/2002 *

(*)Data provided by applicant is not consistent with PTO records.

This Application is a CIP of U.S. Patent application Serial No. 09/959,226, January 23, 2002 which is a Foreign Applications 371 of PCT/NZ00/00156

~~PCT/NZ00/00156 08/09/2000~~
NEW ZEALAND 337382 08/23/1999
NEW ZEALAND 507663 10/19/2000

If Required, Foreign Filing License Granted 12/31/2001

Projected Publication Date: 07/04/2002

Non-Publication Request: No

Early Publication Request: No

Title

CASE: Fisher & Paykel Case 106
FILE #: 1171/39672
FD entered DUE
S/N entered DUE

COPY

Humidity controller

Preliminary Class
128

COPY

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

COPY

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.